

FORM PTO-1449 (Modified)

## LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
JPM-002SERIAL NO.  
(not yet filed)APPLICANT  
Chhabra, et al.

FILING DATE

GROUP ART UNIT  
3624

JPM-002 U.S. PTO

09/29/00



## U.S. PATENT DOCUMENTS

EXAM'R INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
2/		6,021,397	2/1/00	Jones et al.			
↓		6,012,044	1/4/00	Maggioncalda et al.			
↓		5,918,217	6/29/99	Maggioncalda et al.			
↓		5,940,810	8/17/99	Traub et al.			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Include Author, Title, Date, Pertinent Pages, etc.)

2/		Support FAQ's, (visited 6/19/00), < <a href="http://www.financialengines.com.html">http://www.financialengines.com.html</a> >.
↓		Meet Our Management, < <a href="http://www.financialengines.com/company/team.html">http://www.financialengines.com/company/team.html</a> >.
↓		Glossary Of Finance Terms, < <a href="http://www.financialengines.com/www/html/help/glossary.html">http://www.financialengines.com/www/html/help/glossary.html</a> >.
↓		How Does the Advisor Pick Mutual Funds?, < <a href="http://app.financialengines.com/www/html/experts/howtopickmutualfunds.html">http://app.financialengines.com/www/html/experts/howtopickmutualfunds.html</a> >.
↓		How Much Money Will I Need For Retirement?, < <a href="http://app.financialengines.com/www/html/experts/howmuchmoneyforretirement.html">http://app.financialengines.com/www/html/experts/howmuchmoneyforretirement.html</a> >.
↓		How Much Risk Should I Take?, < <a href="http://app.financialengines.com/www/html/experts/howmuchrisk.html">http://app.financialengines.com/www/html/experts/howmuchrisk.html</a> >.
↓		Cross Section Of An Economic Scenario, < <a href="http://app.financialengines.com/www/html/help/economicscenario.html">http://app.financialengines.com/www/html/help/economicscenario.html</a> >.

EXAMINER

DATE CONSIDERED

6/20/02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to Applicant(s).

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Chhabra, et al.

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10869 U.S. PTO  
09/629589  
07/31/00




**U.S. PATENT DOCUMENTS**

EXAM'R INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS (Include Author, Title, Date, Pertinent Pages, etc.)**

		Estimating Your Annual Retirement Income, (visited 6/19/00), < <a href="http://app.financialengines.com/www/html/help/annuitization.html">http://app.financialengines.com/www/html/help/annuitization.html</a> >
		Bringing It All Together, (visited 6/19/00), < <a href="http://app.financialengines.com/www/html/help/bringittogether.html">http://app.financialengines.com/www/html/help/bringittogether.html</a> >
		Subscribe To Advice, (visited 6/19/00), < <a href="http://app.financialengines.com/www/html/help/canidobetter.html">http://app.financialengines.com/www/html/help/canidobetter.html</a> >

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